

SEP 28 2005

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**To:** Examiner John H. Le  
Art Unit: 2863  
Confirmation No.: 9180

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**Pages:** 20 pages including cover sheet

**Phone:**

**Date:** September 28, 2005

**Re:** Gheorghe C. Cascaval, et al.  
U.S. Serial No. 10/688,293  
Filed: 10/17/03  
Docket No. YOR920030301US1 (16832)

**CC:**

## AMENDMENT UNDER 37 C.F.R. §1.111

Transmitted herewith are:

1. Amendment Transmittal Letter (in duplicate);
2. Amendment under 37 C.F.R. §1.111;
3. Authorization to charge IBM Deposit Account No. 50-0510/IBM in the amount of \$200.00 for the extra independent claim fee; and
4. Certificate of Transmission By Facsimile dated September 28, 2005.

**FOR:**

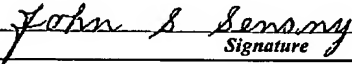
Applicants: Gheorghe C. Cascaval, et al.  
U.S. Serial No. 10/688,293  
Filed: 10/17/03  
Docket No. YOR920030301US1 (16832)

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<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b>			<b>Docket No.</b>
Applicant(s): <b>Gheorghe C. Cascaval, et al.</b>			<b>YOR920030301US1 (16832)</b>
<b>Application No.</b> 10/688,293	<b>Filing Date</b> October 17, 2003	<b>Examiner</b> John H. Le	<b>Group Art Unit</b> 2863
<b>Invention: A MECHANISM FOR ON-LINE PREDICTION OF FUTURE PERFORMANCE MEASUREMENTS IN A COMPUTER SYSTEM</b>			
<div style="text-align: right;"><b>RECEIVED</b> <b>CENTRAL FAX CENTER</b> <b>SEP 28 2005</b></div> <p>I hereby certify that this <u>AMENDMENT UNDER 37 C.F.R. §1.111</u> (Identify type of correspondence) is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>571-273-8300</u>) on <u>September 28, 2005</u> (Date)</p> <div style="text-align: right;"><p><u>John S. Sensny</u> (Typed or Printed Name of Person Signing Certificate)</p><p><u>John S. Sensny</u> (Signature)</p></div> <p><b>Note: Each paper must have its own certificate of mailing.</b></p>			

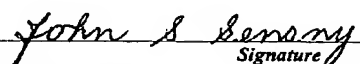
P18/REV02

AMENDMENT TRANSMITTAL LETTER (Large Entity)					Docket No.	
Applicant(s): Gheorghe C. Cascaval, et al.					YOR920030301US1 (16832)	
Application No. 10/688,293	Filing Date October 17, 2003	Examiner John H. Le	Customer No. 23389	Group Art Unit 2863	Confirmation No. 9180	
Invention: A MECHANISM FOR ON-LINE PREDICTION OF FUTURE PERFORMANCE MEASUREMENTS IN A COMPUTER SYSTEM						
<b>COMMISSIONER FOR PATENTS:</b>						
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.						
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CLAIMS AS AMENDED						
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE	
TOTAL CLAIMS	24 -	24 =	0	x \$50.00	\$0.00	
INDEP. CLAIMS	7 -	6 =	1	x \$200.00	\$200.00	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00	
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$200.00	
<input type="checkbox"/> No additional fee is required for amendment.						
<input checked="" type="checkbox"/> Please charge Deposit Account No. 50-0510/IBM in the amount of \$200.00						
<input type="checkbox"/> A check in the amount of to cover the filing fee is enclosed.						
<input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 50-0510/IBM						
<input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16.						
<input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.						
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<div style="text-align: center;"> Signature</div> <div>John S. Sensny Registration No. 28,757  Correspondence Address Customer No. 23389</div>			Dated: September 28, 2005			
cc: JSS:jy			<div style="border: 1px solid black; padding: 5px;"><div>hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on</div><div style="text-align: center;">(Date)</div><div style="text-align: center;">Signature of Person Mailing Correspondence</div><div style="text-align: center;">Typed or Printed Name of Person Mailing Correspondence</div></div>			

P11LARGE/REV09

<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b>			Docket No. <b>YOR920030301US1 (16832)</b>	
Applicant(s): <b>Gheorghe C. Cascaval, et al.</b>				
Application No. <b>10/688,293</b>	Filing Date <b>October 17, 2003</b>	Examiner <b>John H. Le</b>	Group Art Unit <b>2863</b>	
Invention: <b>A MECHANISM FOR ON-LINE PREDICTION OF FUTURE PERFORMANCE MEASUREMENTS IN A COMPUTER SYSTEM</b>				
<div style="text-align: right;">RECEIVED CENTRAL FAX CENTER SEP 28 2005</div> <p>I hereby certify that this <u>AMENDMENT UNDER 37 C.F.R. §1.111</u> (Identify type of correspondence) is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No: <u>571-273-8300</u>) on <u>September 28, 2005</u> (Date)</p> <p style="text-align: right;"><u>John S. Sensny</u> (Typed or Printed Name of Person Signing Certificate)</p> <p style="text-align: right;"><u>John S. Sensny</u> (Signature)</p> <p style="text-align: center;">Note: Each paper must have its own certificate of mailing.</p>				

P18/REV02

<b>AMENDMENT TRANSMITTAL LETTER (Large Entity)</b>				Docket No. <b>YOR920030301US1 (16832)</b>	
Applicant(s): <b>Gheorghe C. Cascaval, et al.</b>					
Application No. <b>10/688,293</b>	Filing Date <b>October 17, 2003</b>	Examiner <b>John H. Le</b>	Customer No. <b>23389</b>	Group Art Unit <b>2863</b>	Confirmation No. <b>9180</b>
Invention: <b>A MECHANISM FOR ON-LINE PREDICTION OF FUTURE PERFORMANCE MEASUREMENTS IN A COMPUTER SYSTEM</b>					
<b>COMMISSIONER FOR PATENTS:</b> Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.					
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	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	24 -	24 =	0	x \$50.00	\$0.00
INDEP. CLAIMS	7 -	6 =	1	x \$200.00	\$200.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$200.00</b>
<input type="checkbox"/> No additional fee is required for amendment. <input checked="" type="checkbox"/> Please charge Deposit Account No. <b>50-0510/IBM</b> in the amount of <b>\$200.00</b> <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account <b>50-0510/IBM</b> <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17. <input type="checkbox"/> Payment by credit card. Form PTO-2038. <b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b>					
 _____ John S. Sensny Registration No. 28,757  Correspondence Address Customer No. 23389			Dated: <b>September 28, 2005</b>  <div style="border: 1px solid black; padding: 5px;">         I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____          _____          (Date)           _____          Signature of Person Mailing Correspondence           _____          Typed or Printed Name of Person Mailing Correspondence       </div>		
cc: JSS:jy					

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